

### CONNECTICUT DEPARTMENT OF LABOR APPLICATION FOR APPRENTICESHIP RATIO RELIEF

*\*Ratio Relief applicants must advertise open journey person position(s) for 30 days on CThires.com prior to subcommittee review of application beginning January 1, 2018\**

**\*Ratio Relief is intended to help when qualified Journey workers cannot be found\***

#### **GENERAL INFORMATION**

1. Name of Firm/Sponsor \_\_\_\_\_ Date of Application \_\_\_\_\_  
dba Name, if any \_\_\_\_\_  
Mailing Address \_\_\_\_\_ Zip Code \_\_\_\_\_  
Physical Location \_\_\_\_\_ Zip Code \_\_\_\_\_  
City \_\_\_\_\_ County/State \_\_\_\_\_  
Trade \_\_\_\_\_ License Category \_\_\_\_\_  
Email \_\_\_\_\_ Phone # \_\_\_\_\_ Fax # \_\_\_\_\_
2. Type of Firm (Check only one)  Corporation  Partnership  Proprietorship  Joint Venture  LLC
3. How many years has the Firm been in business? \_\_\_\_\_ Under the same name? \_\_\_\_\_ Program Approval Date \_\_\_\_\_
4. Number of previous requests for ratio relief within the past five years \_\_\_\_\_
5. Please answer the following questions & attach the proper documentation:  
 yes  no A. Is the Firm actively seeking Journey workers?  yes  no B. Is the Firm actively seeking Apprentices?  
 yes  no C. Registered and posted job listing with CT Hires? [www.cthires.com](http://www.cthires.com) Job # \_\_\_\_\_  
Please attach a copy of the CT Hires job listing. **\*THIS IS A REQUIREMENT\***  
 yes  no D. Advertising for licensed position(s)? Please attach all copies.
6. Within the past five years has the firm, any affiliate, (including any contractor of record), any predecessor company or entity, owner of 5.0% or more of the firm’s shares, director, officer, partner, or proprietor been subject of: (check any that apply and explain under sponsor remarks. It is imperative that a full explanation of the circumstances relating to a “yes” statement be submitted to ensure an objective evaluation by the Department. Attach additional pages if necessary).  
 yes  no A. A judgment or conviction of any business related conduct constituting a crime under state or federal law?  
 yes  no B. A currently pending indictment for any business-related conduct constituting a crime under state or federal law?  
 yes  no C. A grant of immunity for any business-related conduct constituting a crime under state or federal law or regulation?  
 yes  no D. Any final determination of a violation of any federal labor law or regulation?  
 yes  no E. Any OSHA violation that was categorized as willful, repeat, failure to abate, or was based on retaliating against an employee for filing a safety or health complaint.  
 yes  no F. Any final determination of a violation of any state labor law or regulation?  
Public work violation?  yes  no Was this violation willful?  yes  no  
 yes  no G. A consent order with the Connecticut Department of Environmental Protection, or a federal or state enforcement determination involving a construction-related violation of federal or state environmental laws?  
 yes  no H. A debarment from federal contracts for violation of the Davis-Bacon Act, 49 Stat. 101(1931), 40 USC 278a-2?  
 yes  no I. A debarment from state contracts for violation of Connecticut’s prevailing wage law pursuant to Conn. Gen. Stat. Section 31-53a?  
 yes  no J. A debarment or suspension for violation of any other state prevailing wage law?  
 yes  no K. Rejection of any bid or proposed subcontract or general contract for lack of responsibility pursuant to state law?  
 yes  no L. Any final determination of a violation of any state occupational licensing statute or regulation?  
 yes  no M. A consent order entered into with the Connecticut Department of Consumer Protection or any other state or federal government agency?  
 yes  no N. Any pending enforcement proceeding by a federal, state or municipal agency regarding an alleged violation of the law?  
 yes  no O. Are all current apprentices attending related instruction (if required)?

SPONSOR \_\_\_\_\_ TRADE \_\_\_\_\_

CURRENT NUMBER OF JOURNEYPERSONS EMPLOYED \_\_\_\_\_

CURRENT NUMBER OF PRE-APPRENTICES REGISTERED \_\_\_\_\_

CURRENT NUMBER OF APPRENTICES REGISTERED \_\_\_\_\_

CURRENT NUMBER OF APPRENTICES (STATUS)

YEAR 1 \_\_\_\_\_ YEAR 2 \_\_\_\_\_ YEAR 3 \_\_\_\_\_ YEAR 4 \_\_\_\_\_ YEAR 5 \_\_\_\_\_ YEAR 6 \_\_\_\_\_

NUMBER OF APPRENTICES RATIO RELIEF IS REQUESTED FOR? \_\_\_\_\_ TRADE \_\_\_\_\_

Is this request for a CT Technical High School graduate?  yes  no School Attended? \_\_\_\_\_

Is this request for a pre-apprentice student?  yes  no

Is this request for a U.S. Military Veteran?  yes  no

CUMULATIVE APPRENTICESHIP RECORD (based on previous five years)

Registered \_\_\_\_\_ \*Completed \_\_\_\_\_

\* Completed is defined as those individuals who have been awarded a certificate of completion per the Regulations of Connecticut State Agencies, Sec. 31-51d-2(h).

PRE-APPRENTICE STARTING (WAGE) RATE \$ \_\_\_\_\_

APPRENTICE STARTING (WAGE) RATE \$ \_\_\_\_\_ or \_\_\_\_\_%

JOURNEYPERSON COMPLETION (WAGE) RATE \$ \_\_\_\_\_

TOTAL NUMBER OF JOURNEYPERSONS TERMINATED IN THE PAST FIVE YEARS? \_\_\_\_\_

TOTAL NUMBER OF JOURNEYPERSONS WHO VOLUNTARILY QUIT IN THE PAST FIVE YEARS? \_\_\_\_\_

TOTAL NUMBER OF APPRENTICES TERMINATED IN THE PAST FIVE YEARS? \_\_\_\_\_ PRE-APPRENTICES \_\_\_\_\_

TOTAL NUMBER OF APPRENTICES WHO VOLUNTARILY QUIT IN THE PAST FIVE YEARS? \_\_\_\_\_ PRE-APPRENTICES \_\_\_\_\_

TERMINATION DATA (based on previous five years)

TERMINATION CODES

NUMBER OF APPRENTICES TERMINATED

1. Discharged/Released	_____
2. Left to accept related employment	_____
3. Left to accept other employment	_____
4. Unsatisfactory Performance	_____
5. Lack of work	_____
6. Entered military service	_____
7. Illness/death	_____
8. Voluntarily quit	_____
9. Probationary period – discharge/voluntary quit	_____
Total	_____

SPONSOR REMARKS (Reason(s) for request, attach additional sheet if necessary):

\_\_\_\_\_  
\_\_\_\_\_

**CERTIFICATION:** The undersigned acknowledges that this questionnaire is submitted for the express purpose of inducing the Connecticut Labor Department to authorize the hiring of apprentices in a certain ratio to journeypersons under its state apprenticeship program pursuant to Section 31-51d-5(l) of the Regulations of Connecticut State Agencies. Applicant acknowledges that the Department may, in its discretion, determine the truth and accuracy of all statements made herein. Applicant further acknowledges that intentional submission of false or misleading information in this application may constitute reasonable cause for institution of a formal de-registration proceeding against applicant's apprenticeship program pursuant to Section 31-51d-7 of the Regulations of Connecticut State Agencies. Applicant states and certifies under penalty of law (Conn. Gen. Stat. Section 53a-175 Class A Misdemeanor) that the information submitted in this questionnaire and any attached pages is true, to the best of his or her knowledge.

Signature of Officer	Date	Signature of Contractor of Record
Printed or Typed Name of Officer	Title	Printed or Typed Name of Contractor of Record

**Please return to:**

Connecticut Department of Labor  
 Office of Apprenticeship Training  
 200 Folly Brook Boulevard  
 Wethersfield, CT 06109

**For Office Use Only**

Date Received at OAT: \_\_\_\_\_ Reviewed & Verified by: \_\_\_\_\_

Date Received CO: \_\_\_\_\_ Initials: \_\_\_\_\_

**CT DOL OAT Recommendation**

Approved     Denied     Partial    Approval for: \_\_\_\_\_

If Denied, explain \_\_\_\_\_

**Signature:** \_\_\_\_\_ **Program Manager**

Approved     Partial Approval for: \_\_\_\_\_     Denied

**Signature:** \_\_\_\_\_ **Commissioner**

**The Connecticut Department of Labor**